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目录



• 视觉编码生物学基础

- 神经编码模型
- 稀疏编码与算法
  - ICA算法
  - 稀疏表象
  - 非对称学习算法
- 视觉EEG信号处理
- 视觉编码的应用





'Where': the motion and spatial location

'What': the detailed features, form, and object identity





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# Coding Principle

- Efficient Coding-- Attneave (1954)
  - The goal of visual perception is to produce an efficient representation of the input signal.
- Redundancy Reduction -- Barlow (1961)
  - The role of early sensory neurons is to remove statistical redundancy in the sensory input.
- Sparse Coding Vinje, Gallant (Science 2000)
  - During natural vision, the classical and nonclassical receptive fields function together to form a sparse representation of the visual world.
- Temporal coding--
  - The temporal structure of the firing patterns of single neurons in multiple neurons of a functional assembly



#### **Sparse Coding**





Olshausen and Field, 1996 Receptive field model for Simple cells in V1



#### LTP/LTD (非对称学习)



• Figure from <u>Bi & Poo (1998)</u>



# **Sparse Coding**

#### Objective:

To find basis functions  $\{\varphi_i\}$ , such that natural images can be represented ; sparse as possible.



$$P(\mathbf{a}|\theta) = \Pi_i P(a_i|\theta)$$

$$I(x, y) = \sum_{i} a_{i} \phi_{i}(x, y) + \varepsilon(x, y)$$







**External World** 

$$\mathbf{x}(t) = \mathbf{A}\mathbf{s}(t)$$
$$\mathbf{y}(t) = \mathbf{W}\mathbf{x}(t)$$

 $\mathbf{v}(t) = \mathbf{A} \mathbf{c}(t)$ 





# **Redundancy Reduction**



(Barlow1961; Attneave1954)

- Natural images are redundant in that there exist statistical dependencies among pixel values in space and time.
- In order to make efficient use of resources, the visual system should reduce redundancy by removing statistical dependencies.





# **Sparse Representation**



Sparse, when  $\theta < 2$ ; Gaussian, when  $\theta = 2$ ; Nonsparse, when  $\theta > 2$ .





#### **Correlation Between Pixels**





X(i,j)



#### The Cost Function



$$E(a,\phi) = \sum_{x,y} [I(x,y) - \sum_{i} a_i \phi_i(x,y)]^2 + \beta \sum_{i} S(\frac{a_i}{\sigma_i})$$

$$S(x): \log(1+x^2); |x|^p (0$$

where I is input; and phi(x,y) is the internal representation



# **Training with Natural Images**

□ Training: 10 images (512x512)

#### □ 10,000 presentations





□ Batch size: 100

□ Basis Function: 16x16





#### **Topographic ICA complex cells**



• Hyvarinen and Hoyer, 2001



<u> </u>





Each cortical neuron represents a separated signal.

Although many neurons may be involved in coding a particular object, the essential characteristic of the independent coding is that all of the information carried by one neuron is independent of that by any other neurons.

- Retinal ganglion cells; S. Nirenberg, et al, 2001
- Neurons selective for direction; deCharms, 1998
- Neurons for determining a motor task, Georgopous, 1990;
   Schwartz, 1994



# Independent Decomposition



The purpose of Independent Decomposition is to decompose the sensor signals into the components which are maximally mutually independent.

Problem:  $\min I(y)$ 

where 
$$x = (x_1, \dots, x_n)^T$$
  
 $y = (y_1, \dots, y_m)^T$   
 $y = \mathbf{W}x$ 





#### Sparseness I

- Spatial Sparesness
  - Small rate of neurons response to a stimulus



Temporal Sparseness





#### • Hebbian Learning rule: $\Delta w_{ij}(t) = \eta x_i(t) y_j(t)$



• ICA Learning rule:

# Sparse Representation for Image



- Image model:
  - a linear superposition of basis functions and adapted these functions so as to maximize the sparsity of the representation while preserving information in the images.
- Probabilistic model
  - Olshausen & Field 1997;
  - Bell, et al 1997,
  - Hateren et al 1998;
  - Lewicki & Olshausen 1999.
  - Hyvärinen & Hoyer (2000) complex cell
  - Vinje & Gallant (2000)
  - Ma, et al 2006 (Overcomplete Sparse Representation)





$$I(x, y) = \sum_{i} b_i u_i(x, y) + v(x, y)$$

ICA Representation =  $(b_1, b_2, \dots, b_n)$ .

$$= b_1 * + b_2 * + \dots + b_n *$$

• (Field & Olshausen, 1996; Bell & Sejnowski, 1997)



#### **Face Local Features**







Zhao and Zhang, 2006



Feedforward Hierarchical Architect





What pooling mechanism to to produce robust feature detectors?

Riesenhuber, etc, 2001





# **Overcomplete Representation**

Statistical Model

$$p(A \mid z) = \frac{p(z \mid A)p(A)}{p(z)}$$

Intermediate variables

$$y_i = a_i^T z = a_i^T A s = s_i + \sum_i a_i^T a_j s_j$$

Priors

$$p(A) = c_m \prod_{i < j} (1 - (a_i^T a_j)^2)^{\frac{m-3}{2}}$$

Ma Libo's Poster







# Learning algorithms

- Natural gradient algorithm
- Conjugate gradient algorithm
- Temporal ICA
- Activation adaptation
- Stability Analysis
- Efficiency







**Problem definition:** How to recover the original source signals ?



Assumption: Mutual Independency of Sources



#### **Cost Function**



The Kullback-Leibler Divergence between two probability density functions p(y) and  $q(y) = \prod_{i=1}^{n} p_i(y_i)$ 

$$D(p,q) = \int p(y) \log\left(\frac{p(y)}{\prod_{i=1}^{n} p_i(y_i)}\right) dy$$

where is the pdf of y. The output signals y are mutually independent if and only if

$$D(p,q) = 0$$



## Cost Function (II)



The Kullback-Leibler Divergence is employed as a cost function, which can be simplified as follows

$$l(y, W) = -\log |\det(W)| - \sum_{i=1}^{n} \log q(y_i)$$

where **W** is the demixing model, and  $q_i(y_i)$  is an estimation of the true probability density function  $p_i(y_i)$  of source signals

(Amari et al 1997; Zhang et al, IEEE SPL1998)



#### **Temporal ICA**

• To use the temporal structures of source signals to train the ICA model

$$\xrightarrow{s(k)} H \xrightarrow{x(k)} W \xrightarrow{y(k)} A(z) \xrightarrow{r(k)}$$

IRA Model: r(k) = A(z)Wx(k)

$$\Delta W = \mu (I - \sum_{p=0}^{L} A_p \phi(r) y^T (k - p)) W \qquad A(z) = \sum_{p=0}^{L} A_p z^{-p}$$



### Manifold of Matrices



The manifold of nonsingular  $n \times n$  matrices is an open sub-manifold of  $\mathcal{M}(n, \mathbf{R})$ , the set of  $n \times n$  matrices, defined by

$$\mathcal{M}(n) = \{ \mathbf{W} \in \mathbf{R}^{n \times n} \| \det(\mathbf{W}) \neq 0 \},\$$

For example, the blind source separation model

$$\mathbf{r}(k) = \mathbf{A}(z)\mathbf{W}\mathbf{x}(k)$$





A geodesic is the shortest path connecting two elements on a manifold.

$$\mathbf{W}(0) = \mathbf{W}_1, \mathbf{W}'(0) = \mathbf{X}_1.$$

In this case, the geodesic is explicitly expressed by

$$\mathbf{W}(t) = \exp(t\mathbf{X}_1\mathbf{W}_1^{-1})\mathbf{W}_1.$$



#### Natural Gradient



$$\frac{d}{dt}L(\mathbf{W}(t))|_{t=0} = tr\left(\frac{\partial L(\mathbf{W})}{\partial \mathbf{W}}\mathbf{X}^T\right)$$

$$\nabla L(\mathbf{W}) = \frac{\partial L(\mathbf{W})}{\partial \mathbf{W}} \mathbf{W}^T \mathbf{W}$$



### **Conjugate Gradient Direction**



Fig. 2. Illustration of the conjugate gradient direction on the Riemannian manifold





The representation model are described by nonlinear state space models



IEEE SP 2004; SP 2003



# **Deconvolution Model**



 $\mathbf{x}(k+1) = \mathbf{F}_{\Theta}(\mathbf{x}(k), \mathbf{u}(k), \boldsymbol{\xi}_{d}(k)),$  $\mathbf{y}(k) = \mathbf{C}\mathbf{x}(k) + \mathbf{D}\mathbf{u}(k) + \boldsymbol{\gamma}_{d}(k).$ 

• 
$$u(\mathbf{k}) = (u_1(k), \dots, u_m(k))^{\mathrm{T}}$$

• 
$$\mathbf{x}(\mathbf{k}) = (x_1(k), ..., x_m(k))^{\mathrm{T}}$$

• 
$$y(\mathbf{k}) = (y_1(k), ..., y_m(k))^{\mathrm{T}}$$

the vector of *m*-sensor signals; the vector of *m*-states of the deconvolution model; the output of the demixing model, used to estimate the sources *s*(k)

 $\Theta$ , C and D are the free parameters in the demixing model



# Cost Function (cont.)



The Kullback-Leibler Divergence is employed as a cost function, which can be simplified as follows

$$l(y,W) = -\log |\det(D)| - \sum_{i=1}^{n} \log q(y_i)$$

Where **W**=[**C**, **D**], where  $q_i(y_i)$  is an estimation of the true probability density function  $p_i(y_i)$  of source signals

(Zhang et al, NIPS1998)



# Natural Gradient Learning

**Natural Gradient** 

$$\vec{\nabla}l(\mathbf{y},\mathbf{W}) = \nabla l(\mathbf{y},\mathbf{W}) \begin{bmatrix} \mathbf{I} + \mathbf{C}^T \mathbf{C} & \mathbf{C}^T \mathbf{D} \\ \mathbf{D}^T \mathbf{C} & \mathbf{D}^T \mathbf{D} \end{bmatrix}$$

#### **Natural Gradient Learning**

$$\Delta \mathbf{C}(k) = \eta((I - \varphi(y)y^T)\mathbf{C} - \varphi(y)x^T),$$
  
$$\Delta \mathbf{D}(k) = \eta(I - \varphi(y)y^T)\mathbf{D}.$$

(Zhang et al, ICA2001, Zhang et al, JSP2002)



Table 11.1Family of adaptive learning algorithms for state-space models.

	-	
Reference	Model	Algorithm
Zhang and Cichocki (1998) [1352]	linear	$\Delta \mathbf{C} = -\eta \mathbf{f}(\mathbf{y})^T$ $\Delta \mathbf{D} = \eta \left[ \mathbf{I} - \mathbf{f}(\mathbf{y}) \mathbf{x}^T \mathbf{D}^T \right] \mathbf{D}$
Zhang and Cichocki (1998) [1353]	linear	$\Delta \mathbf{C} = \eta \left[ (\mathbf{I} - \mathbf{f}(\mathbf{y}) \mathbf{y}^T) \mathbf{C} - \mathbf{f}(\mathbf{y})^T \right]$ $\Delta \mathbf{D} = \eta \left[ \mathbf{I} - \mathbf{f}(\mathbf{y}) \mathbf{y}^T \right] \mathbf{D}$ with Kalman filter
Cichocki and Zhang (1998) [1354]	nonlinear	$\Delta \mathbf{C} = \eta \left[ (\mathbf{\Lambda} - \mathbf{f}(\mathbf{y}) \mathbf{y}^T) \mathbf{C} - \mathbf{f}(\mathbf{y})^T \right]$ $\Delta \mathbf{D} = \eta \left[ \mathbf{\Lambda} - \mathbf{f}(\mathbf{y}) \mathbf{y}^T \right] \mathbf{D}$
Cichocki and Zhang (1998) [281]	nonlinear	Two-stage approach
Cichocki and Zhang (1999) [282]	nonlinear	$\Delta \mathbf{C} = \eta \left[ (\mathbf{I} - \mathbf{f}(\mathbf{y}) \mathbf{y}^T) \mathbf{C} - \mathbf{f}(\mathbf{y})^T \mathbf{\Lambda} \right]$ $\Delta \mathbf{D} = \eta \left[ \mathbf{I} - \mathbf{f}(\mathbf{y}) \mathbf{y}^T \right] \mathbf{D}$
Salam and Waheed (2001) [1034]	linear	Recurrent network
Salam and Erten (1999) [1033]	nonlinear	Lagrange multiplier approach
Zhang and Cichocki [1358, 1359]	nonholonomic	$\Delta[\mathbf{C} \mathbf{D}] = -\eta \nabla \mathcal{R} \begin{bmatrix} \mathbf{I} + \mathbf{C}^T \mathbf{C} & \mathbf{C}^T \mathbf{D} \\ \mathbf{D}^T \mathbf{C} & \mathbf{D}^T \mathbf{D} \end{bmatrix}$
nocki and Amari's Book	, þþ <b>435)</b> <sup>thm</sup>	$\Delta \mathbf{C}(k) = \eta(k) \begin{bmatrix} \mathbf{\Lambda}^{(k)} - \mathbf{R}_{\mathbf{f}\mathbf{y}}^{(k)} & \mathbf{C}(k) - \mathbf{R}_{\mathbf{f}}^{(k)} \end{bmatrix}$ $\Delta \mathbf{D}(k) = \eta(k) \begin{bmatrix} \mathbf{\Lambda}^{(k)} - \mathbf{R}_{\mathbf{f}\mathbf{y}}^{(k)} \end{bmatrix} \mathbf{D}(k)$



# **Activation Function Adaptation**

$$p_e(y,\theta) = \exp\left(-\theta \frac{|y|^4}{4} - (1-\theta)\log \operatorname{sech}(y) + \mathcal{N}(\theta))\right)$$





#### Activation Function Adaptation (II)

$$\frac{\partial l(\mathbf{y}, \boldsymbol{\theta}, \mathbf{W})}{\partial \boldsymbol{\theta}_i} = \frac{\partial \psi(y_i, \boldsymbol{\theta}_i)}{\partial \boldsymbol{\theta}_i} - \mathcal{N}'(\boldsymbol{\theta}_i).$$

$$\Delta \boldsymbol{\theta}_i = \eta \left( \int_0^{y_i} \frac{\partial \varphi(\tau, \boldsymbol{\theta})}{\partial \boldsymbol{\theta}_i} d\tau - \mathcal{N}'(\boldsymbol{\theta}_i) \right).$$

(Zhang et al, IEEE NN 2004)



### NeuronScan EEG











#### NeuroScan Electrodes Distribution





### **EEG Data Visualization**







### **EEG** Data Visualization



Zhao Qibin, BCI Poster



# 脑诱发电位(源)估计



Intelligent "Decision Blocks" allow to switch off/on each channel automatically depending on features of separated signals.



# **VEP** Localization







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Thank you!

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