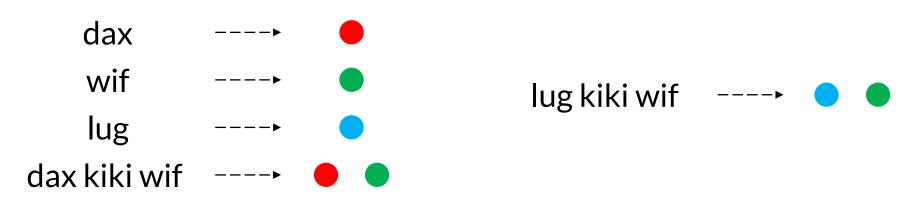


# **Compositional Generalization by Learning Analytical Expressions**

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## Introduction

When using language, humans have a remarkable ability to recombine known parts to understand novel sentences they have never encountered before. For example, once humans have learned the meanings of "dax", "wif", "lug" and "dax kiki wif", it is effortless for them to understand the meaning of "lug kiki wif".



Understanding language compositionality is a basic and essential capacity for human beings, but modern translation models dramatically fail to obtain a satisfactory performance on compositional generalization. Back to the above example, current models fail to generalize to understand "lug kiki wif".

Motivated by work in cognition which argues compositionality can be captured by variable slots with symbolic functions, we propose a memory-augmented neural model to achieve compositional generalization by *Learning Analytical* **E**xpressions (**LANE**). It seizes a great ability of compositional generalization, reaching 100% accuracies on a well-known synthetic benchmark. As far as we know, our model is the first neural model to pass all compositional challenges addressed by previous works on the benchmark without extra resources.

# **Compositional Generalization Assessment**

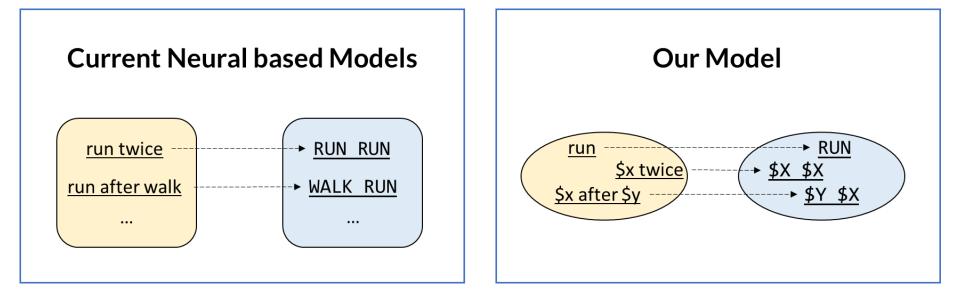
Since the study on compositional generalization of deep neural models is still in its infancy, most previous works employ artificial datasets to conduct assessment. As one of the most important benchmarks, SCAN [1] is proposed to evaluate the compositional generalization ability of translation models. SCAN describes a simple navigation task that aims to translate compositional navigation sentences into executed action sequences such as from "jump" thrice" to JUMP JUMP. To evaluate different nature of compositional generalization, SCAN is split into different ways, making up three compositional challenges: Add jump, Around Right, Length Generalization.

	Add Jump	Around Right	Length Generalization	
Train	jump	turn around left	look around left	
	walk twice	turn opposite right	look around left twice	
	walk around left	walk around left	look around left twice after look	
Test	jump around left	turn around right	look around left twice after look around left	
	<i>No complex</i>	"around right" is	Train: length of the action sequence is shorter	
	command of jump	held out from the	than 24 actions; Test: all action sequences	
	in training	training set	longer than or equal to 24 actions.	

## Modeling Compositionality

The compositionality of language constitutes an algebraic system, of the sort that can be captured by symbolic functions with variable slots [2].

- Current neural models fit a mapping from source instances into destination instances.
- Our model learns a mapping from source analytical expressions into destination analytical expressions, just as symbolic functions.



Given an input utterance "run opposite left after walk twice", our method will first recognize "run" and supersede it with a variable "\$x". Step by step, the utterance is decomposed into a sequence of source analytical expressions, and then our method solves them by symbolic functions.

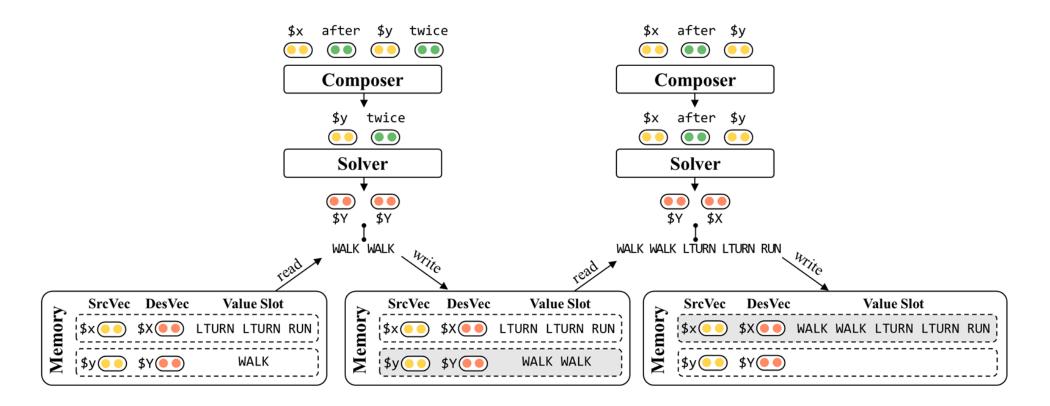
6	\$x after \$y
5	\$x after \$y twice
4	<u>\$x</u> after walk twice
3	\$x opposite \$y after walk twice
2	<pre>\$x opposite left after walk twice</pre>
1	run opposite left after walk twice

#### **Symbolic Function**

\$x after \$y	<b></b>	\$Y \$X
\$y twice	<b>→</b>	\$Y \$Y
walk	<b>→</b>	WALK
\$x opposite \$y	>	\$Y \$Y \$X
left		LTURN
run		RUN

## **Memory-Augmented Model**

Similarly, we propose a memory-augmented neural model to achieve compositional generalization by automatically learning the above analytical expressions. It understands an utterance via interaction between Composer, Solver and Memory.







Our model takes several steps to understand a sentence. At the beginning of a step, "\$x after \$y twice" is fed into Composer. Then Composer finds "\$y twice" and sends it to Solver. Receiving "\$y twice", Solver first translates it into "\$Y **\$Y**". Using "**\$Y\$Y**" as the skeleton, Solver obtains WALK WALK by replacing "\$Y" with its corresponding value slot WALK in Memory. Meanwhile, since "WALK" has been used, the value slot which stores WALK is set to empty. Next, Solver writes WALK WALK into an empty value slot. Finally, "\$y twice" in "\$x after \$y twice" is superseded by "\$y", producing "\$x after \$y" for the next step.

### **Hierarchical Reinforcement Learning**

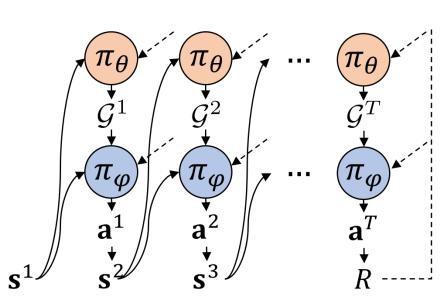
Training our proposed model is non-trivial for two reasons since there is no supervision about intermediate results between Composer and Solver. We employ Hierarchical Reinforcement Learning: Composer is regarded as a highlevel agent, while Solver is a low-level agent.

States s<sup>t</sup> contains:

- Memory
- Input for Composer

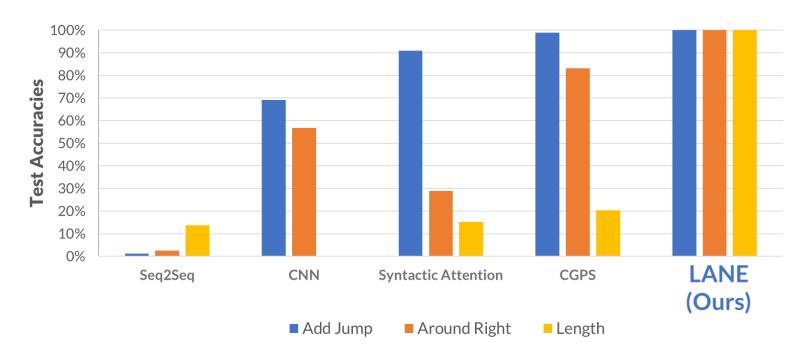
Action represents:

- output of Composer
- output of Solver



## **Experimental Results**

On the SCAN benchmark, LANE achieves stunning 100% test accuracies on all tasks. Compared with state-of-the-art baselines without extra resources, **LANE** achieves a significantly higher performance. As far as we know, **LANE** is the first neural model to pass all tasks without extra resources



## References

[1] B. M. Lake and M. Baroni. (2018). "Generalization without systematicity: On the compositional skills of sequence-tosequence recurrent network.", In: Proceedings of the 35th International Conference on Machine Learning (ICML).

[2] M. Baroni. (2020) "Linguistic generalization and compositionality in modern artificial neural networks". In: Philosophical Transactions of the Royal Society.

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