



Lecture 4: Search 3

http://cs.nju.edu.cn/yuy/course_ai15.ashx



Previously...



Path-based search

Uninformed search

Depth-first, breadth first, uniform-cost search

Informed search

Best-first, **A* search**

Iterative-improvement search

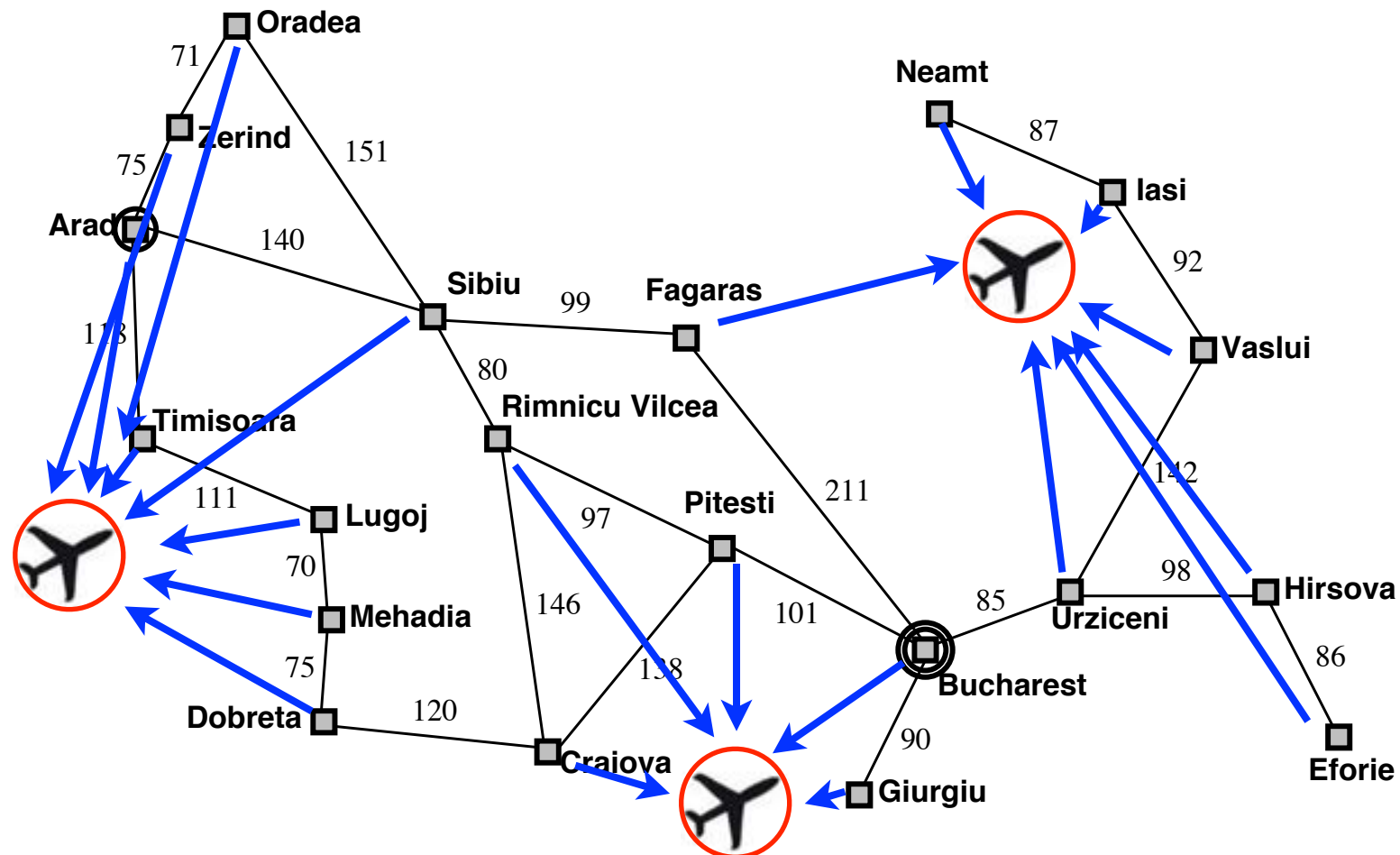
Hill climbing: greedy method

Greedy idea in continuous space



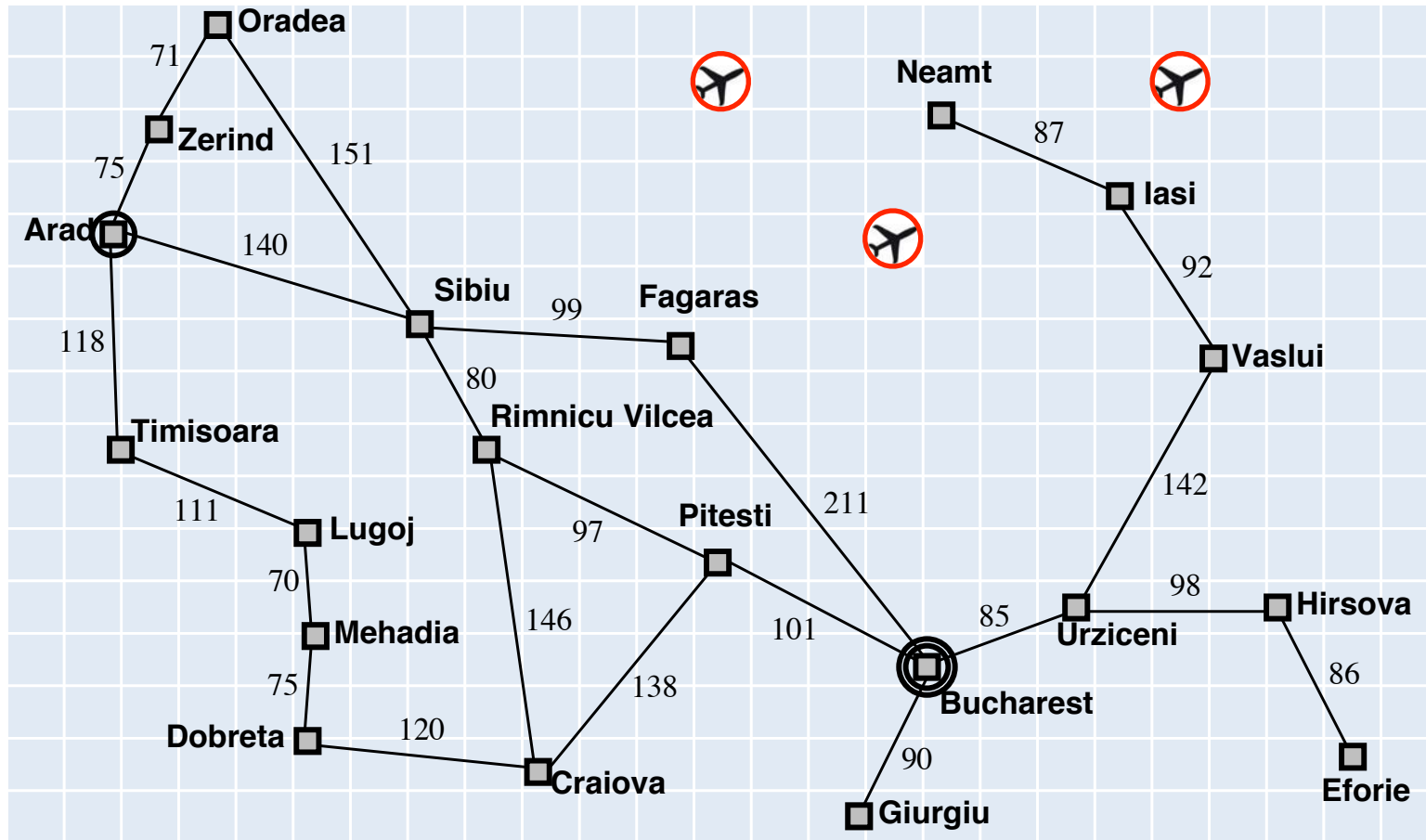
Suppose we want to site three airports in Romania:

- 6-D state space defined by $(x_1, y_1), (x_2, y_2), (x_3, y_3)$
- objective function $f(x_1, y_1, x_2, y_2, x_3, y_3) =$
sum of squared distances from each city to nearest airport



Greedy idea in continuous space

discretize and use hill climbing





Greedy idea in continuous space

gradient decent

- 6-D state space defined by $(x_1, y_1), (x_2, y_2), (x_3, y_3)$
- objective function $f(x_1, y_1, x_2, y_2, x_3, y_3) =$
sum of squared distances from each city to nearest airport

Gradient methods compute

$$\nabla f = \left(\frac{\partial f}{\partial x_1}, \frac{\partial f}{\partial y_1}, \frac{\partial f}{\partial x_2}, \frac{\partial f}{\partial y_2}, \frac{\partial f}{\partial x_3}, \frac{\partial f}{\partial y_3} \right)$$

to increase/reduce f , e.g., by $\mathbf{x} \leftarrow \mathbf{x} + \alpha \nabla f(\mathbf{x})$

1-order method



Greedy idea in continuous space

gradient decent

- 6-D state space defined by $(x_1, y_2), (x_2, y_2), (x_3, y_3)$
- objective function $f(x_1, y_2, x_2, y_2, x_3, y_3) =$
sum of squared distances from each city to nearest airport

Sometimes can solve for $\nabla f(\mathbf{x}) = 0$ exactly (e.g., with one city).
Newton–Raphson (1664, 1690) iterates $\mathbf{x} \leftarrow \mathbf{x} - \mathbf{H}_f^{-1}(\mathbf{x})\nabla f(\mathbf{x})$
to solve $\nabla f(\mathbf{x}) = 0$, where $\mathbf{H}_{ij} = \partial^2 f / \partial x_i \partial x_j$

2-order method

Taylor's series:

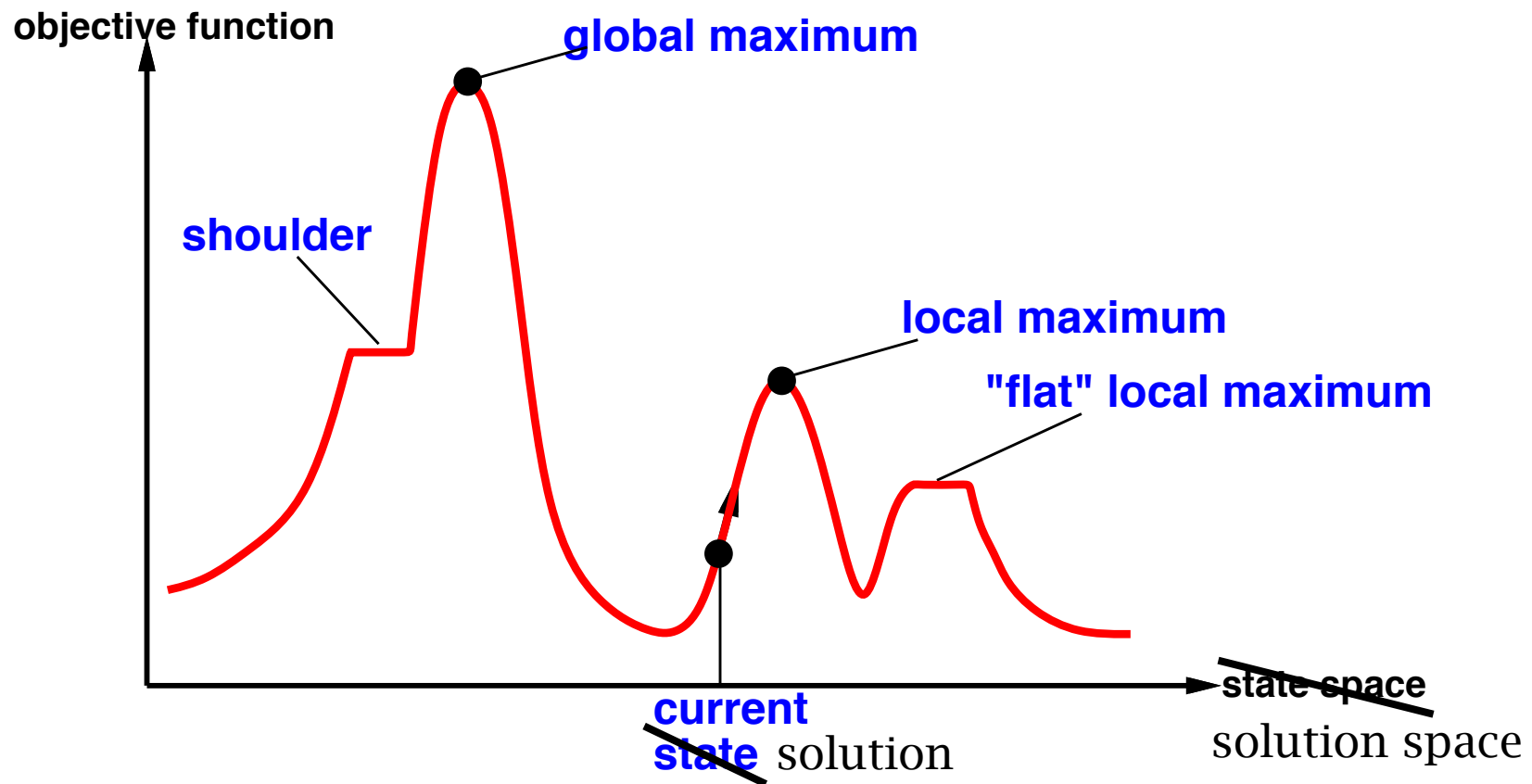
$$f(x) = f(a) + (x - a)f'(a) + \frac{(x - a)^2}{2}f''(a) + \dots = \sum_{i=0}^{\infty} \frac{(x - a)^i}{i!} f^{(i)}(a).$$

Greedy idea



1st and 2nd order methods may not find global optimal solutions

they work for convex functions



Meta-heuristics



“problem independent

“black-box

“zeroth-order method

...

and usually inspired from nature phenomenon

Simulated annealing



temperature from high to low

when high temperature, form the shape
when low temperature, polish the detail

Simulated annealing



Idea: escape local maxima by allowing some “bad” moves
but gradually decrease their size and frequency

function SIMULATED-ANNEALING(*problem*, *schedule*) **returns** a solution state

inputs: *problem*, a problem

schedule, a mapping from time to “temperature”

local variables: *current*, a node

next, a node

T, a “temperature” controlling prob. of downward steps

current ← MAKE-NODE(INITIAL-STATE[*problem*])

for $t \leftarrow 1$ to ∞ **do**

T ← *schedule*[*t*]

if $T = 0$ **then return** *current*

next ← a randomly selected successor of *current* *the neighborhood range*

$\Delta E \leftarrow \text{VALUE}[\textit{next}] - \text{VALUE}[\textit{current}]$ *shrinks with T*

if $\Delta E > 0$ **then** *current* ← *next*

else *current* ← *next* only with probability $e^{\Delta E/T}$ *the probability of accepting a bad solution decreases with T*

Simulated annealing

a demo



Local beam search



Idea: keep k states instead of 1; choose top k of all their successors

Not the same as k searches run in parallel!

Searches that find good states recruit other searches to join them

Problem: quite often, all k states end up on same local hill

Idea: choose k successors randomly, biased towards good ones

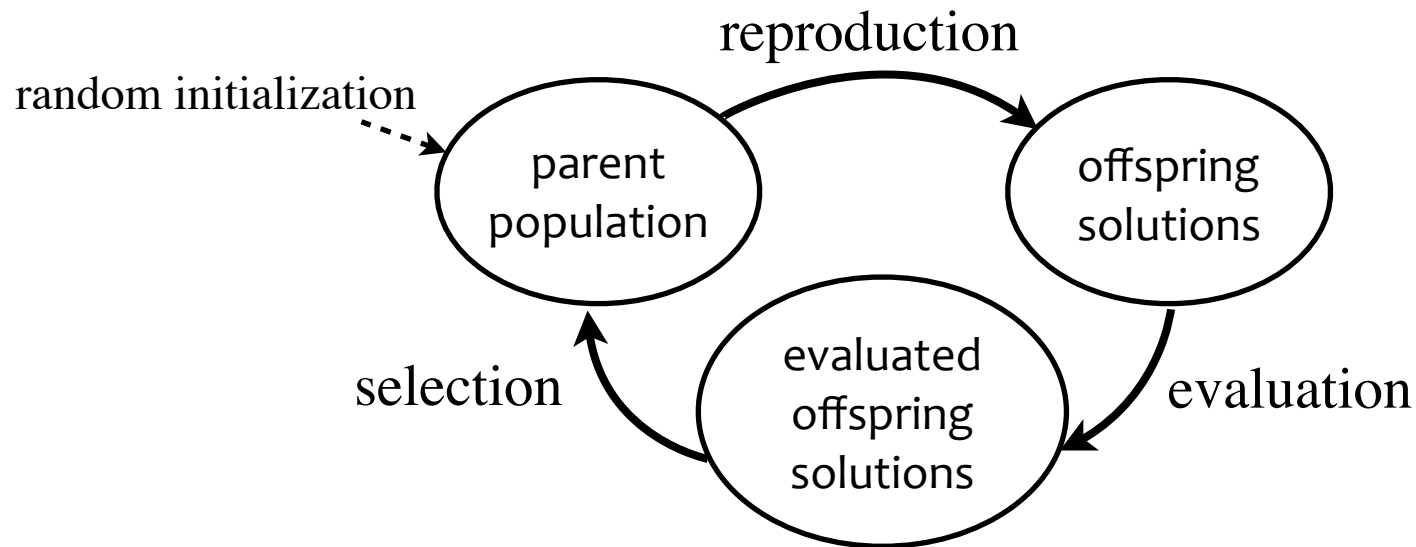
Observe the close analogy to natural selection!

Genetic algorithm



a simulation of Darwin's evolutionary theory

over-reproduction with diversity
nature selection



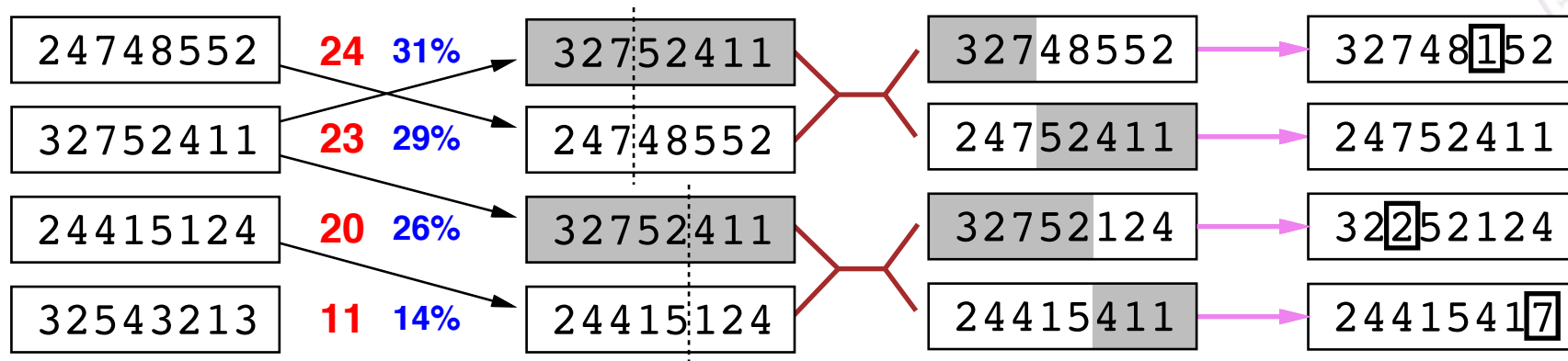
Genetic algorithm



Encode a solution as a vector,

- 1: $Pop \leftarrow n$ randomly drawn solutions from \mathcal{X}
- 2: **for** $t=1,2,\dots$ **do**
- 3: $Pop^m \leftarrow \{mutate(s) \mid \forall s \in Pop\}$, the mutated solutions
- 4: $Pop^c \leftarrow \{crossover(s_1, s_2) \mid \exists s_1, s_2 \in Pop^m\}$, the recombined solutions
- 5: evaluate every solution in Pop^c by $f(s)(\forall s \in Pop^c)$
- 6: $Pop^s \leftarrow$ selected solutions from Pop and Pop^c
- 7: $Pop \leftarrow Pop^s$
- 8: **terminate** if meets a stopping criterion
- 9: **end for**

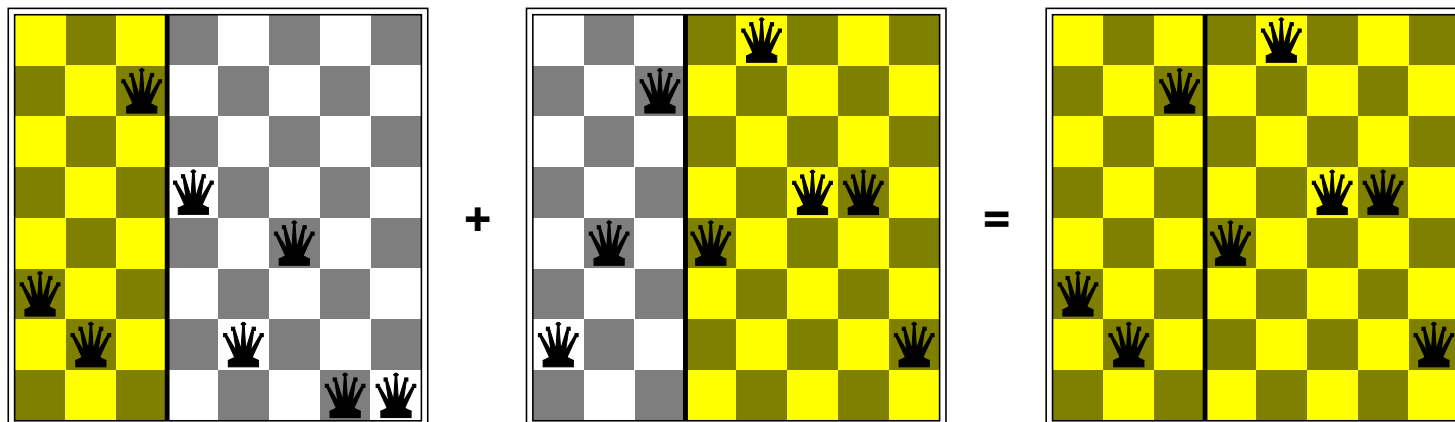
Genetic algorithm



Fitness **Selection** **Pairs** **Cross-Over** **Mutation**

GAs require states encoded as strings (GPs use programs)

Crossover helps **iff substrings are meaningful components**





Example

Encode a solution as a vector with length n

each element of the vector can be chosen from $\{1, \dots, V\}$

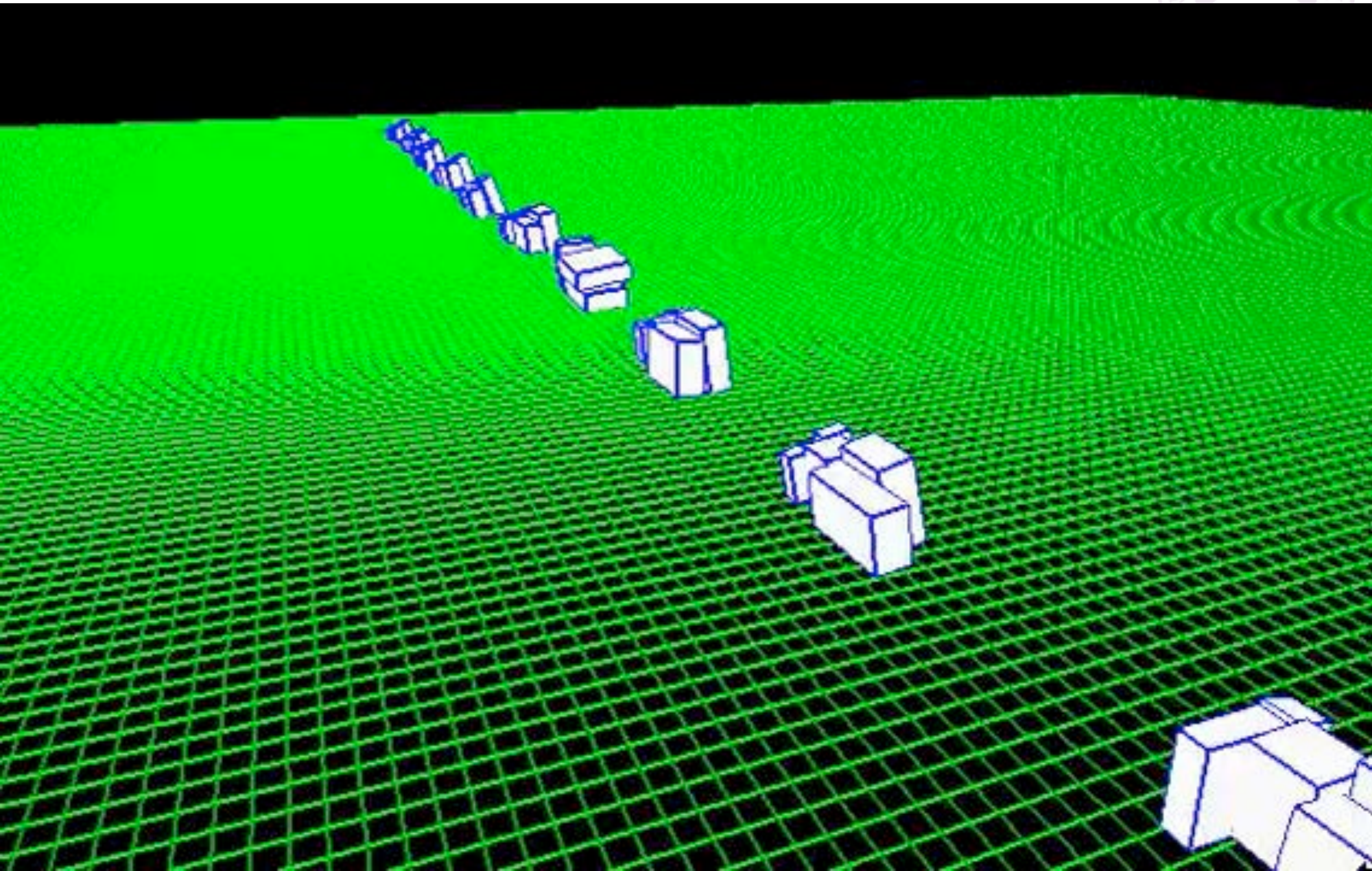
parameters: mutation probability p_m , crossover probability p_c

- 1: Pop = randomly generate n solutions from $\{1, \dots, V\}^n$
- 2: for $t=1, 2, \dots$ do
- 3: $Pop^m = \text{emptyset}, Pop^c = \text{emptyset}$
- 4: for $i = 1$ to n
- 5: let x be the i -th solution in Pop
- 6: for $j = 1$ to n : with probability p_m , change x_j by a random value from $\{1, \dots, V\}$
- 7: add x into Pop^m
- 8: end for
- 9: for $i = 1$ to n
- 10: let x be the i -th solution in Pop^m
- 11: let x' be a randomly selected solution from Pop^m
- 12: with probability p_c , exchange a random part of x with x'
- 13: add x into Pop^c
- 14: end for
- 15: evaluate solutions in Pop^c , select the best n solutions from Pop and Pop^c to Pop
- 16: terminal if a good solution is found
- 17: end for

An evolutionary of virtual life



An evolutionary of virtual life



Properties of meta-heuristics



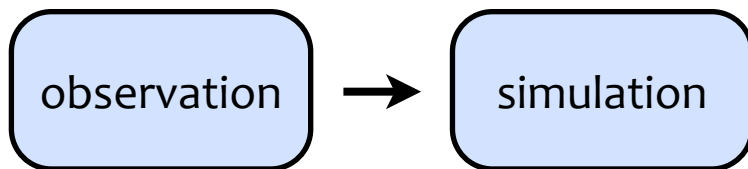
zeroth order

do not need differentiable functions

convergence

will find an optimal solution if $P(x^* | x) > 0$
or $P(x \rightarrow x_1 \rightarrow \dots \rightarrow x_k \rightarrow x^*) > 0$

a missing link



Properties of meta-heuristics



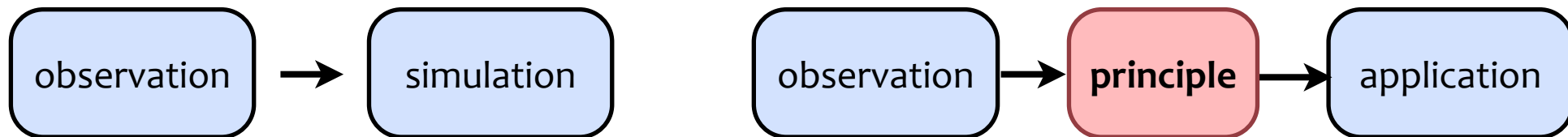
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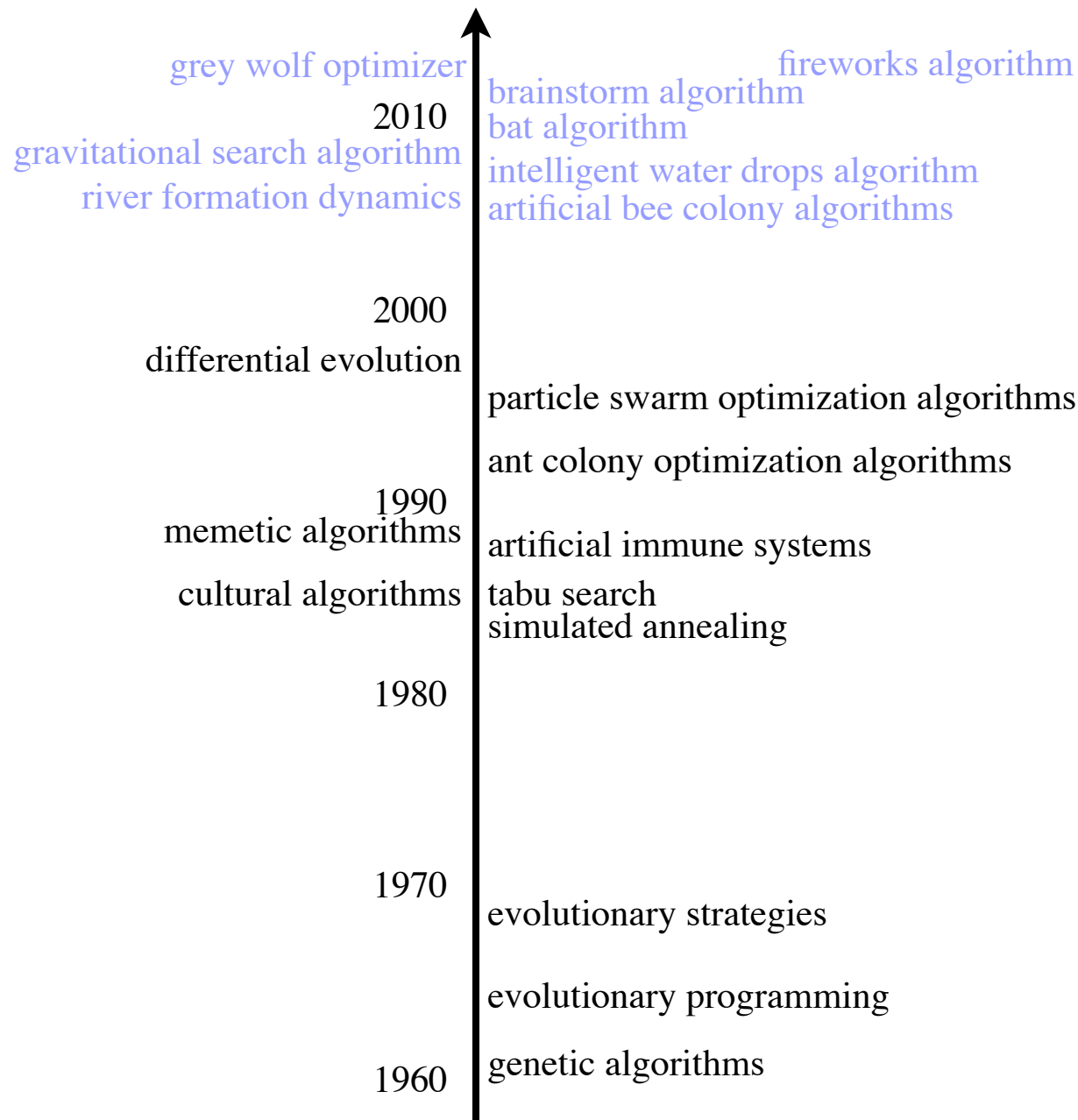
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a missing link



Properties of meta-heuristics



Example

*hard to apply traditional optimization methods
but easy to test a given solution*



Representation:



parameterize
→

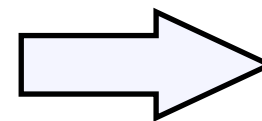
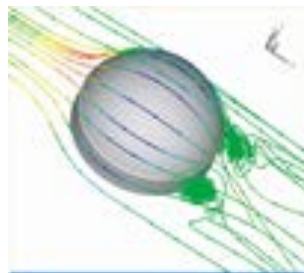
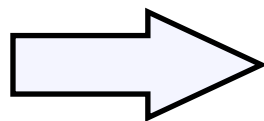


represented as a vector of parameters

Fitness:



x_i



$f(x_i)$

test by simulation/experiment

Example



Series 700



Series N700

Technological overview of the next generation Shinkansen high-speed train Series N700

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Abstract

In March 2005, Central Japan Railway Company (JR Central) has completed prototype trainset of the Series N700, the next generation Shinkansen high-speed rail rolling stock developed

waves and other issues related to environmental compatibility such as external noise. To combat this, an aero double-wing-type has been adopted for nose shape (Fig. 3). This nose shape, which boasts the most appropriate aerodynamic performance, has been newly developed for railway rolling stock using the latest analytical technique (i.e. genetic algorithms) used to develop the main wings of airplanes. The shape resembles a bird in flight, suggesting a feeling of boldness and speed.

suppress aerodynamic noise [1]

On the Tokaido Shinkansen line, Series N700 cars save 19% energy than Series 700 cars, despite a 30% increase in the output of their traction equipment for higher-speed operation (Fig. 4).

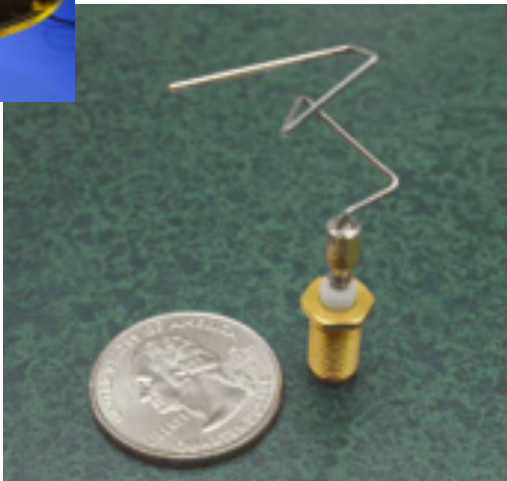
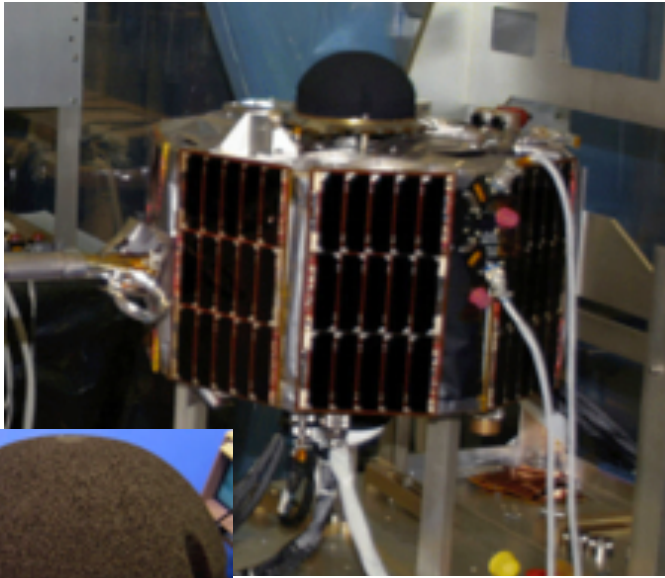
This is a result of adopting the aerodynamically excellent nose shape, reduced running resistance thanks to the drastically smoothed car body and under-floor equipment, effective

this nose ... has been newly developed ... using the latest analytical technique (i.e. **genetic algorithms**)

N700 cars save **19%** energy ... **30%** increase in the output... This is a result of adopting the ... nose shape

Example

NASA ST5 satellite



*hard to apply traditional optimization methods
but easy to test a given solution*

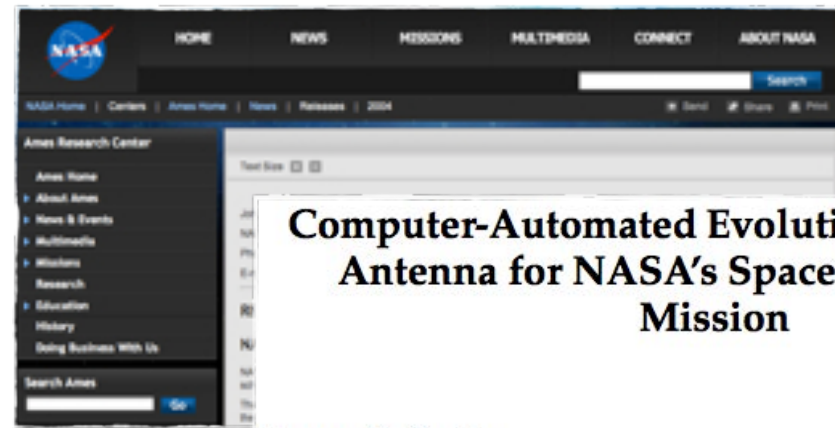
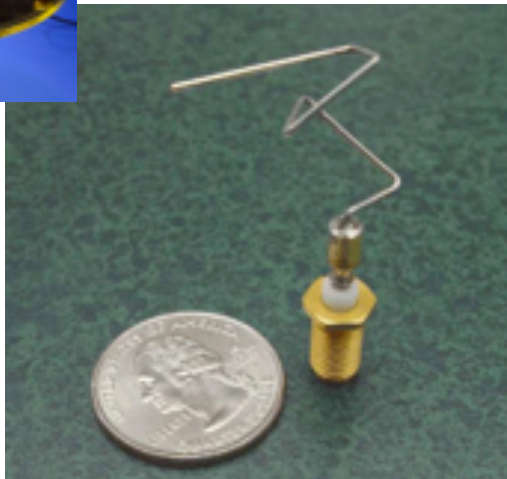
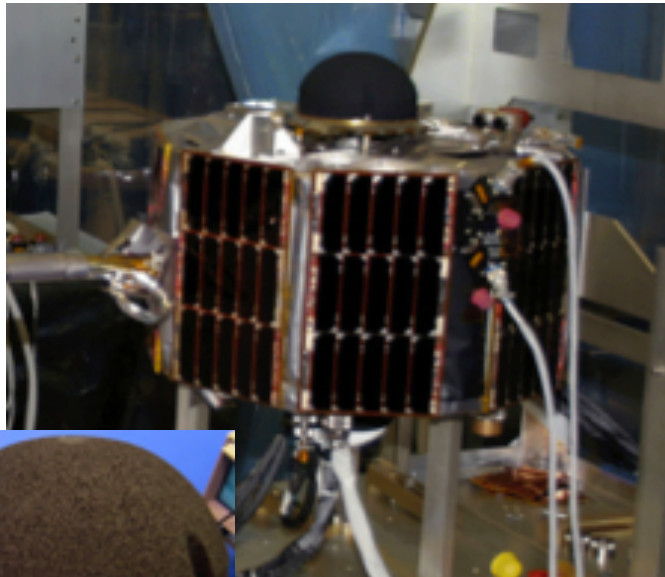


Example



NASA ST5 satellite

*hard to apply traditional optimization methods
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Computer-Automated Evolution of an X-Band Antenna for NASA's Space Technology 5 Mission

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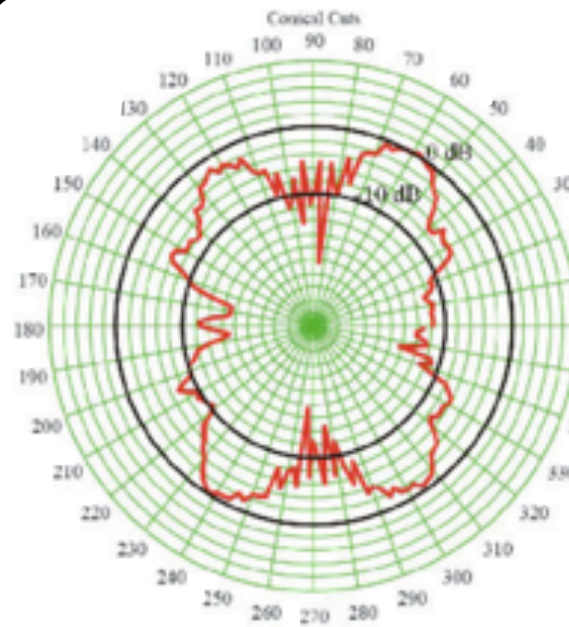
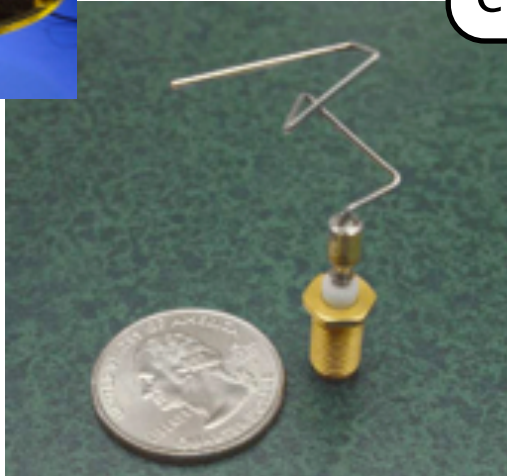
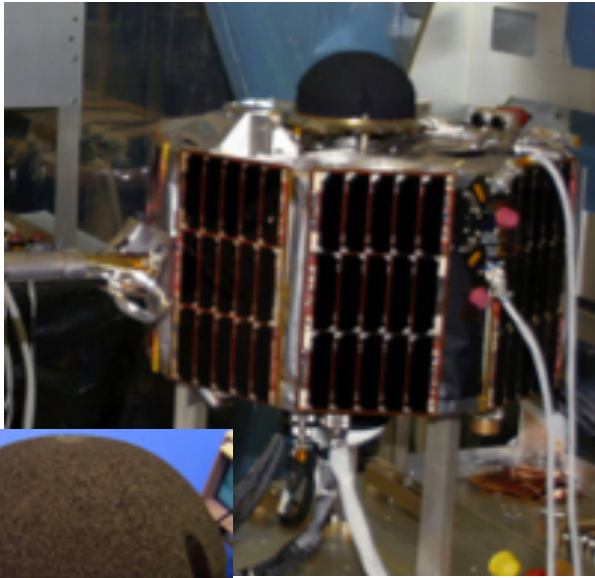
dlinden@jemengineering.com
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Since there are two antennas on each spacecraft, and not just one, it is important to measure the overall gain pattern with two antennas mounted on the spacecraft. For this, different combinations of the two evolved antennas and the QHA were tried on the the ST5 mock-up and measured in an anechoic chamber. **With two QHAs 38% efficiency was achieved, using a QHA with an evolved antenna resulted in 80% efficiency, and using two evolved antennas resulted in 93% efficiency.** Here "efficiency" means how much power is being radiated versus how much power is being eaten up in resistance, with greater efficiency resulting in a stronger signal and greater range. Figure 11

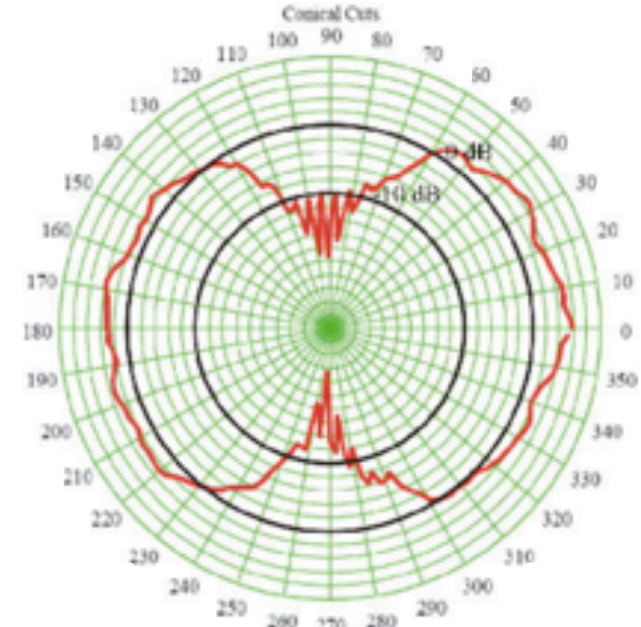
Example



NASA ST5 satellite



QHAs(人工设计) 38% efficiency



evolved antennas resulted in 93% efficiency

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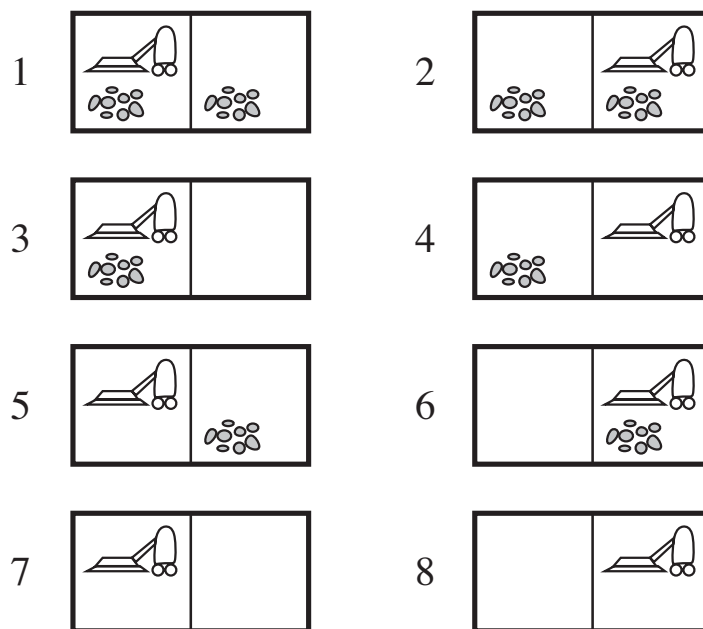
Different Environment Properties

Nondeterministic actions



In the **erratic vacuum world**, the *Suck* action works as follows:

- When applied to a dirty square the action cleans the square and sometimes cleans up dirt in an adjacent square, too.
- When applied to a clean square the action sometimes deposits dirt on the carpet.⁹

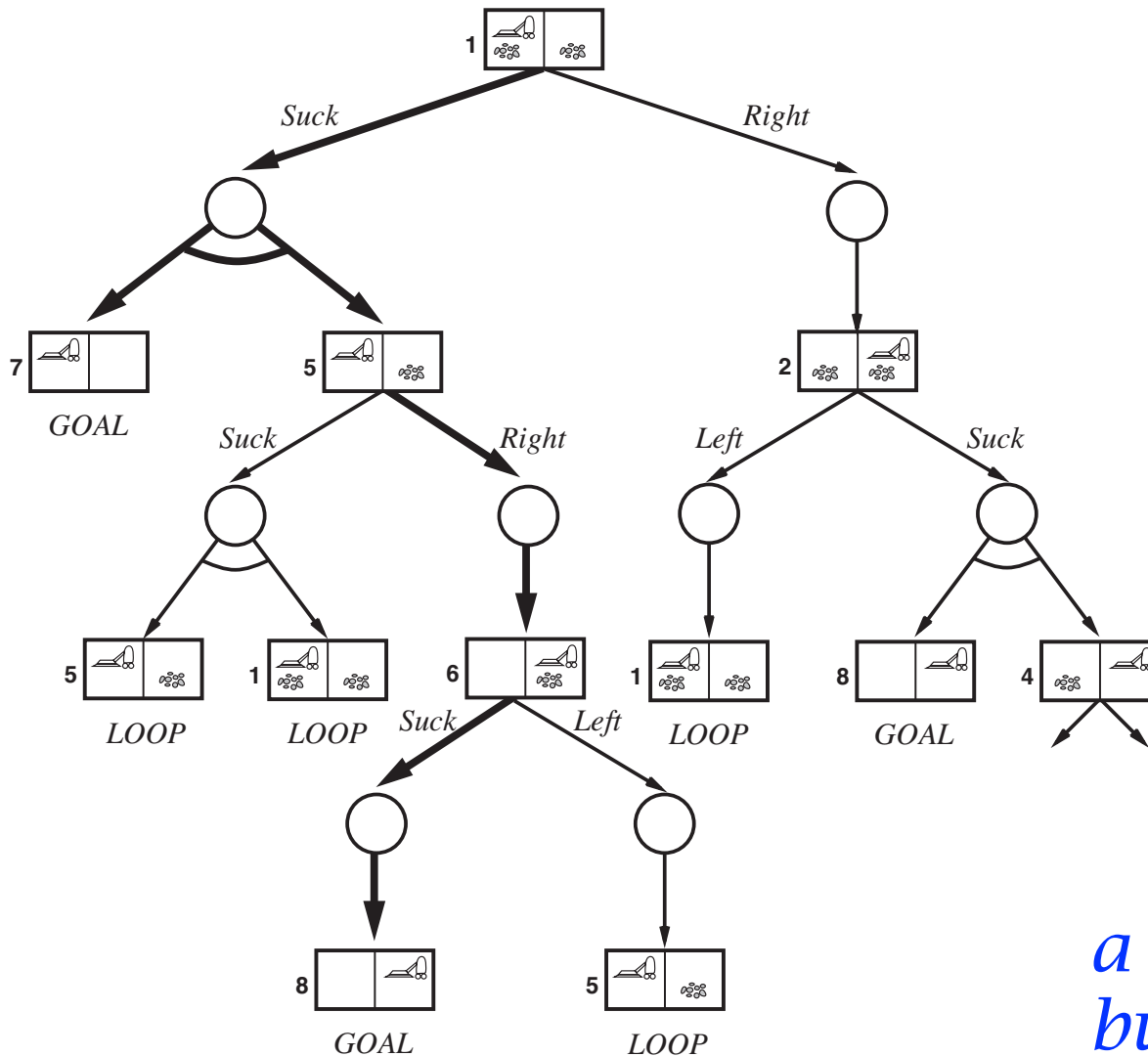


almost all real-world problems are nondeterministic
how do you solve this problem?



AND-OR tree search

OR node: different actions (as usual)
AND node: different transitions



*a solution is not a path
but a tree*

Depth-first AND-OR tree search



function AND-OR-GRAPH-SEARCH(*problem*) **returns** a conditional plan, or failure
OR-SEARCH(*problem*.INITIAL-STATE, *problem*, [])

function OR-SEARCH(*state*, *problem*, *path*) **returns** a conditional plan, or failure
if *problem*.GOAL-TEST(*state*) **then return** the empty plan
if *state* is on *path* **then return** failure
for each *action* **in** *problem*.ACTIONS(*state*) **do**
 plan \leftarrow AND-SEARCH(RESULTS(*state*, *action*), *problem*, [*state* | *path*])
 if *plan* \neq failure **then return** [*action* | *plan*]
return failure

function AND-SEARCH(*states*, *problem*, *path*) **returns** a conditional plan, or failure
for each s_i **in** *states* **do**
 *plan*_{*i*} \leftarrow OR-SEARCH(s_i , *problem*, *path*)
 if *plan*_{*i*} = failure **then return** failure
return [**if** s_1 **then** *plan*₁ **else if** s_2 **then** *plan*₂ **else** ... **if** s_{n-1} **then** *plan* _{$n-1$} **else** *plan* _{n}]

Search with no observations



search in **belief** (in agent's mind)

